SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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|---------------------|-----------|
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| STATEMENT | OF CHANGES | IN BENEFICIAL | OWNERSHIP |
|-----------|-------------------|---------------|------------------|
| | | | |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | | | | | ompany / loc of 10 10 | | | | |
|--|------------|------------|-------------------------------------|---------------------------------------|-----------------------------------|--|---------|----------------------------|--------------|-----------|
| 1. Name and Address of Reporting Person* WIJNBERG SANDRA S | | | 2. Issuer Name and T PRICE T ROW | | g Symbol <u>P INC</u> [TROW] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | | | |
| (Last) | (First) | (Middle | 2) | 3. Date of Earliest Tra 09/28/2023 | nsaction (Mon | th/Day/Year) | | Officer (give title below) | | (specify |
| 100 E. PRATT STREET | | | 4. If Amendment, Date | e of Original Fi | led (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applica Line) | | | | |
| (Street) | | | | X Form filed by One Reporting Per | | | | | | |
| BALTIMORE | MD | 21202 | 2 | | | | | porting | | |
| (City) | (State) | (Zip) | | Rule 10b5-1(0 | c) Transa | ction Indication | | | | |
| Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | ended to |
| | Ta | able I - N | Ion-Derivat | ive Securities A | cquired, D | sposed of, or Benef | icially | Owned | | |
| 1. Title of Security | (Instr. 3) | | 2. Transaction | 2A. Deemed | 3. | 4. Securities Acquired (A) o | r | 5. Amount of | 6. Ownership | 7. Nature |

| | Date (Month/Day/Year) | Execution Date, if any (Month/Day/Year) | Code (Instr. | | Disposed Of (D) (Instr. 3, 4 and 5) | | | Securities Beneficially Owned Following Reported | (D) or Indirect (I) (Instr. 4) | of Indirect Beneficial Ownership | |
|--------------|--------------------------|---|--------------|---|-------------------------------------|---------------|----------|---|-----------------------------------|--|--|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) | |
| Common Stock | 09/28/2023 | | Α | | 102.2636(1) | Α | \$104.14 | 21,844.5514 | D | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | | Expiration Date (Month/Day/Year) ired r osed) 3, 4 | | Expiration Date A (Month/Day/Year) S U D Si | | | Expiration Date | | Expiration Date | | Expiration Date | | e and int of rities rlying ative ative (Instr. 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) |
|---|---|--|---|------------------------------|---|-----|---|---------------------|---|-------|--|-----------------|--|-----------------|--|-----------------|--|--|---|--|--|---------------------------------------|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | | | | | | | | |

Explanation of Responses:

1. This is pursuant to the T. Rowe Price Group, Inc. 2017 Non-Employee Director Equity Plan with respect to dividends declared by the issuer on it's Common Stock. These shares were credited as fully-vested dividend reinvestment shares.

Remarks:

Cheryl L. Emory, Assistant Corporate Secretary, POA for 09/29/2023 Wijnberg, Sandra S.

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).